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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/653,102	08/31/2000	Mark A. Nordlicht	3024/FLK	4063
7278	7590	10/06/2005	EXAMINER	
DARBY & DARBY P.C. P. O. BOX 5257 NEW YORK, NY 10150-5257			WEISBERGER, RICHARD C	
			ART UNIT	PAPER NUMBER
			3624	

DATE MAILED: 10/06/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

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APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
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091 053102

EXAMINER
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ART UNIT	PAPER
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09052005

DATE MAILED:

**Please find below and/or attached an Office communication concerning this application or proceeding.**

**Commissioner for Patents**

Richard C Weisberger  
Primary Examiner  
Art Unit: 3624

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Art Unit: 3624

1. Applicant and the assignee of this application are required under 37 CFR 1.105 to provide the following information that the examiner has determined is reasonably necessary to the examination of this application.

2. In response to this requirement, please provide answers to each of the following interrogatories eliciting factual information:

Name the real party of interest (the assignee) of this application.

Is the assignee familiar with the designation independent software provider (ISV) ?

Does the assignee market itself as an ISV?

Is the assignee familiar with the term front end trading platform?

Does the assignee product and/or market front end trading platforms?

Does the applicant use and/or market a front end application that is certified with the Chicago Board of Trade or other options exchange(s) ? If yes, under what name is the application known?

Does the applicant use and/or market a ISV that is certified with the Chicago Board of Trade or other options exchange(s) ? If so, under what name is the ISV known?

Is assignee aware of an ISV or front end trading platform that includes the features as described in any of the independent claims?

Has the assignee marketed an ISV or front end trading platform that includes the features as described in any of the independent claims? If so, what is the name of the system?

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3. The information is required to identify products and services embodying the disclosed subject matter of the independent claims. The applicant is reminded that the reply to this requirement must be made with candor and good faith under 37 CFR 1.56. Where the applicant does not have or cannot readily obtain an item of required information, a statement that the item is unknown or cannot be readily obtained may be accepted as a complete reply to the requirement for that item.

4. This requirement is subject to the provisions of 37 CFR 1.134, 1.135 and 1.136 and has a shortened statutory period of two months. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).


and identify the properties of similar products and services found in the prior art.



Richard Weisberger

Primary Examiner

703 308 4408



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Vince Millin

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